

FORM PTO 1449 (modified)
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: May 21, 1999

ATTY DOCKET NO.
030523/0136

SERIAL NO.
09/232,488

APPLICANT
Garner *et al.*

FILING DATE
January 15, 1999

GROUP
1632

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | | 1 | /D.C./ | Garner's Preliminary Motion No. 1 | 8/16/2001 |
| | | 2 | | Declaration of Alan Colman | 8/16/2001 |
| | | 3 | | Declaration of David Toman | 8/16/2001 |
| | | 4 | | Declaration of Lothar Hennighausen | 8/16/2001 |
| | | 5 | | Declaration of Jeffrey Rosen | 8/16/2001 |
| | | 6 | | Yarus et al, <i>Genetic Engineering</i> , 18: 57-81 (1996) | |
| | | 7 | | Reddy et al., <i>Animal Biotechnology</i> 2: 15-29 (1991) | |
| | | 8 | | Tojo et al., <i>J. Reprod. Develop.</i> 39: 145-155 (1993) | |
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DATE CONSIDERED 08/05/2009

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Sheet 2 of 3

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| | 9 | /D.C./ | Farrell <i>et al.</i> , <i>Biochemistry</i> , 30: 9414-9420 (1991) |
| | 10 | | Velander's Preliminary Motion No. 1 8/16/2001 |
| | 11 | | Garner's Opposition to Velander's Pre 8/16/2001 Motion No. 1 8/16/2001 |
| | 12 | | Prunkard <i>et al.</i> , <i>Nature Biotechnology</i> , 14:867-871, 870 (1996) |
| | 13 | | Hurtley <i>et al.</i> , <i>Annu. Rev. Cell Biol.</i> 5: 277-307 (1989) |
| | 14 | | Prunkard <i>et al.</i> , (Abstract) <i>J. Cell Biochem., Suppl.</i> 17C (1993) |
| | 15 | | Drohan <i>et al.</i> , <i>Transgenic Research</i> , 3: 355-364 (1994) |
| | 16 | | Velander <i>et al.</i> , <i>PNAS (USA)</i> , 89: 12003-12007 (1992) |
| | 17 | | Pittius <i>et al.</i> , <i>PNAS USA</i> , 85: 5874 (1988) |
| | 18 | | Simons <i>et al.</i> , <i>Biotechnology</i> , 6:179 (1988) |
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| /D.C./ 8/4/09 | 21 US | 5,366,894 | 11-1994 | | | | |
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| <div style="display: flex; align-items: center; justify-content: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: 2em; margin-right: 10px;">↓</div> <div style="text-align: center;"> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">/D.C./</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> <div style="border: 1px solid black; padding: 2px 5px; margin-bottom: 5px;">8/16/2001</div> </div> </div> | 23 | | Garner's Motion to Correct Inventorship. | 8/16/2001 |
| | 24 | | Garner's Preliminary Motion No. 2 | 8/16/2001 |
| | 25 | | Hennighausen, <i>Protein Expression and Publication</i> 1: 3-8 (1990) | |
| | 26 | | Garner Exhibit No. 28- Typed Notes | 8/16/2001 |
| | 27 | | Masoud <i>et al.</i> , <i>Ann. Zootech.</i> 45: -9 (1996) | |
| | 28 | | Garner's Reply in Support of Garner's Preliminary Motion 1 | 8/16/2001 |
| | 29 | | Second Declaration of Alan Colman | 8/16/2001 |
| | 30 | | Declaration of Richard F. Lathe | 8/16/2001 |
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All changes made by D. Crouch
3/24/11.